ChinaAMC HSI ESG ETF
(Stock Code: 3403 HK / 83403 HK / 9403 HK)

Fund Factsheet | **As of 30 Sep 2026** New Capital Investment Entrant Scheme (New CIES) Eligible fund

Investment involves risks, including the loss of principal. Past performance is not indicative of future results. Before investing in the ChinaAMC HSI ESG ETF (the "Fund"), investor should refer to the Fund's prospectus for details, including the risk factors. You should not make investment decision based on the information on this material alone. Please note:

- The Fund aims to provide investment result that, before fees and expenses, closely corresponds to the performance of the HSI ESG Enhanced Index (the "Index").
- The Fund is passively managed. Falls in the Index are expected to result in corresponding falls in the value of the Fund.
- The Fund's investment in equity securities is subject to general market risks, whose value may fluctuate due to various factors.
- The Index is a new index. The Fund may be riskier than those tracking more established indices with longer operating history.
- The Fund is subject to concentration risks in a single geographical region (Greater China). The Fund may be more volatile than a broadly-based fund.
- The Fund is subject to risks associated with ESG investing, such as investment performance affected by ESG exclusion criteria, ESG concentration, incomplete and inaccurate ESG data and assessment, lack of standardized ESG taxonomy, etc.
- The Fund is subject to securities lending transactions risks, including the risk that the borrower may fail to return the securities in a timely manner.
- Listed and Unlisted Classes are subject to different pricing and dealing arrangements. NAV per Unit of each class may be different due to different fees and cost. Dealing deadlines in respect of Listed and Unlisted Classes are different. Trading hours of SEHK applicable to Listed Class in the secondary market and dealing deadlines in respect of the Listed Class on the primary market or Unlisted Class are also different.
- Units of Listed Class are traded in the secondary market on an intraday basis at the prevailing market price, while Units of Unlisted Class are sold through intermediaries based on the dealing day-end NAV. Investors of Unlisted Class could redeem at NAV while investors of Listed Class in the secondary market could only sell at the prevailing market price and may have to exit the Fund at a significant discount. Investors of Unlisted Class may be at an advantage or disadvantage compared to investors of Listed Class.
- The trading price of Listed Units is driven by market factors such as the demand and supply of the units. Therefore, the Listed Units may trade at a substantial premium or discount to the Fund's NAV.
- The Fund is subject to tracking error risk.
- If any suspension of the inter-counter transfer of Listed Units and/or any limitation on the level of services by brokers and CCASS participants occurs, unitholders will only be able to trade their units in one counter. The market price of Listed Units traded in each counter may deviate significantly.
- Unitholders will receive distributions in the HKD only. Unitholder without HKD account may have to bear the fees and charges associated with currency conversion.
- The Fund may at its discretion pay distribution out of capital or effectively out of capital. Payment of dividends out of capital or effectively out of capital amounts to a return or withdrawal of part of an investor's original investment or from any capital gains attributable to that original investment. Any distributions may result in an immediate reduction in the NAV per Unit of the Fund.

▲ Investment Objective

The investment objective is to provide investment results that, before fees and expenses, closely correspond to the performance of the HSI ESG Enhanced Index.

▲ Fund Information [1]

Investment Manager	China Asset Management (Hong Kong) Limited
Trustee	HSBC Institutional Trust Services (Asia) Limited
Custodian	The Hongkong and Shanghai Banking Corporation Limited
Management Fee	0.15% p.a.
Base Currency	HKD
Fund Size	HKD 9,523.14 million
NAV per Unit	HKD 50.7210
Dividend Frequency [5]	Quarterly
Index	HSI ESG Enhanced Index(Net Total Return)
Bloomberg Index Ticker	HSIESGSN Index
Exchange Listing	The Stock Exchange of Hong Kong Limited - Main Board
ETF Website	https://www.chinaamc.com.hk/product/chinaamc-hsi-esg-etf-3403-hk-83403-hk-9403-hk/

Source: Data as of 30 Sep 2026, Bloomberg, unless specified otherwise.

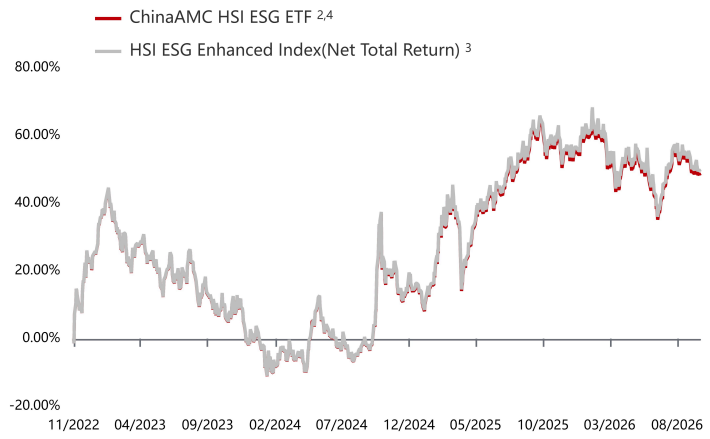
▲ Secondary Market Trading Information

	Commencement of Dealing	Trading Currency	Trading Board Lot Size	Bloomberg Fund Ticker	ISIN Code
HKD Counter	2022-11-10	HKD	10 Units	3403 HK Equity	HK0000873676
RMB Counter	2022-11-10	RMB	10 Units	83403 HK Equity	HK0000873676
USD Counter	2022-11-10	USD	10 Units	9403 HK Equity	HK0000873676

▲ Latest Fund Distribution [5]

Ex-dividend Date	Distribution
2026-09-23	HKD 0.5800
2026-06-23	HKD 0.4600
2026-03-23	HKD 0.1600

▲ Fund Performance [2,4]



▲ Cumulative Performance (%) [2]

	1 Month	3 Month	6 Month	1 Year	3 Year	5 Year
ChinaAMC HSI ESG ETF [2]	-3.09	8.49	2.90	-8.63	34.86	-
HSI ESG Enhanced Index(Net Total Return) [3]	-3.09	8.60	2.94	-8.57	35.66	-

▲ Yearly Performance (%) [2]

	2022 [4]	2023	2024	2025	2026 YTD
ChinaAMC HSI ESG ETF [2]	25.44	-17.52	11.34	33.51	-3.38
HSI ESG Enhanced Index(Net Total Return) [3]	25.70	-17.47	11.58	33.98	-3.35

1 Please refer to the Fund's prospectus for further details (including fees).

2 Past performance information is not indicative of future performance. Investors may not get back the full amount invested. The computation basis of the performance is calculated on a daily NAV-to-NAV basis, with dividend reinvested (in case of distributing/distribution unit/share class, without dividend reinvested), daily NAV is zero if units or shares of a fund, sub-fund or share class are fully redeemed. Where no past performance is shown, meaning there was insufficient data available in that period to calculate and provide fair performance. Performance analytics here refer to HKD counter unit. With effect from 9 December 2024, the Index methodology was updated to reflect certain changes to the exclusion policy. The performance of the Fund prior to 9 December 2024 was achieved under circumstances that no longer apply. With effect from 4 December 2023, the Index methodology was changed to include primary-listed foreign companies to the index universe. The performance of the Fund prior to 4 December 2023 was achieved under circumstances that no longer apply. With effect from 9 June 2025, the Index methodology was updated to reflect the weighting scheme adjustment. The performance of the Sub-Fund prior to 9 June 2025 was achieved under circumstances that no longer apply. The Index methodology was also changed with effect from 9 December 2024 and 4 December 2023, as such the performance prior to the respective dates was achieved under circumstances that no longer apply.

3 Based on net total return, denominated in HKD.

4 Calculated since November 9, 2022, the first official NAV date of the fund.

5 The Manager currently intends distribute income to Unitholders quarterly (usually in March, June, September and December), subject to its discretion, having regard to the Fund's net income after fees and costs. Distribution is not guaranteed. Payment of distribution out of capital and/or effectively out of capital amounts to a return or withdrawal of part of an investor's original investment or from any capital gains attributable to those original investments. Any such distributions may result in an immediate reduction of the NAV per Share of the Fund. Distributions will be paid in Base Currency only. Unitholders may have to bear the fees and charges associated with the conversion of such distribution from HKD to USD or any other currency. Investors should read the fund offering documents for further details.

Source: China Asset Management (Hong Kong) Limited and Bloomberg unless specified otherwise.

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▲ Participating Dealers

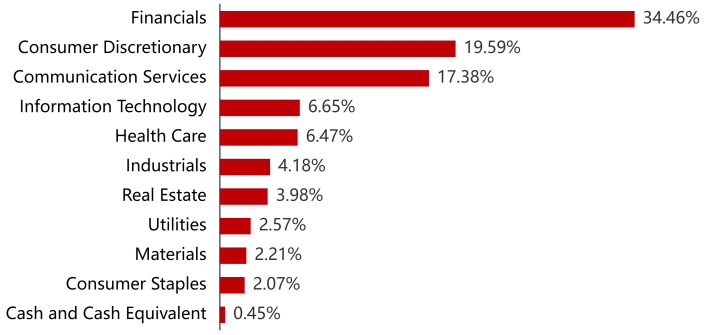
ABN AMRO Clearing Hong Kong Limited
Barclays Bank PLC
BNP Paribas
China International Capital Corporation Hong Kong Securities Limited
China Merchants Securities (HK) Co., Limited
CITIC Securities Brokerage (HK) Limited
Citigroup Global Markets Asia Limited
CLSA Limited
DBS Vickers (Hong Kong) Limited
Goldman Sachs (Asia) Securities Limited
Haitong International Securities Company Limited
Huatai Financial Holdings (Hong Kong) Limited
Korea Investment & Securities Asia Limited
Merrill Lynch Far East Limited
Mirae Asset Securities (HK) Limited
Morgan Stanley Hong Kong Securities Limited
The Hongkong and Shanghai Banking Corporation Limited
UBS Securities Hong Kong Limited
Valuable Capital Limited
Yue Xiu Securities Company Limited
Zhongtai International Securities Limited

▲ Market Makers

Please refer to the Hong Kong Exchanges and Clearing Limited's website at www.hkex.com.hk for the latest lists of Market Makers.

▲ Portfolio Allocation

Sector Exposure (%)



▲ Top 10 Holdings

Security Name	Weight(%)
HSBC HLDGS PLC	8.04%
TENCENT HOLDINGS LTD TENCENT HOLDINGS LTD	7.98%
AIA GROUP LTD	7.92%
ALIBABA GROUP HOLDING LTD ORD USD.000003125	7.89%
HONG KONG EXCHANGES AND CLEARING LTD ORD HKD1	6.45%
PING AN INSURANCE (GROUP) COMPANY OF CHINA LIMITED H SHARES HKD1	4.74%
WUXI BIOLOGICS CAYMAN INC ORD USD.000010000	3.95%
NETEASE INC ORD USD.000100000	3.65%
ANTA SPORTS PRODUCTS LTD ORD HKD 0.1	3.38%
MEITUAN ORD HKD.000010000	3.34%

Customer Hotline: (852) 3406 8686 Website: www.chinaamc.com.hk Email: hkfund_services@chinaamc.com

Important Note

Investment involves risks. The price of the Fund's units may go up as well as down. Past performance is not indicative of future performance, future return is not guaranteed and a loss of your original capital may occur. This material does not constitute an offer or solicitation of any transaction in any securities or collective investment schemes, nor does it constitute any investment advice. This document is provided for information purposes only and shall not be relied upon for making any investment decision. Certain information or data in this document has been obtained from unaffiliated third parties; we have reasonable belief that such information or data is accurate, complete and up to the date as indicated; China Asset Management (Hong Kong) Limited accepts responsibility for accurately reproducing such data and information but makes no warranty or representation as to the completeness and accuracy of data and information sourced from such unaffiliated third parties. You should read the Fund's offering documents for further details, including risk factors. If necessary, you should seek independent professional advice. This material has been prepared and issued by China Asset Management (Hong Kong) Limited. This material has not been reviewed by the Securities and Futures Commission.

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