3077 HK
9077 HK

CIES Eligible ^

Premia US Treasury Floating Rate ETF

(Listed Distribution Units) October 2026 Factsheet

All data as of 31 October 2026

IMPORTANT: Investment involves risk, including the loss of principal. Investors should refer to the Prospectus and Key Facts Statement of Premia US Treasury Floating Rate ETF ("ETF") for details, including the risk factors. Investors should not base investment decisions on this material alone. Investors should note:

- The ETF aims to provide investment results that, before fees and expenses, closely correspond to the performance of Bloomberg US Treasury Floating Rate Bond Index.
- **Concentration risk / U.S. market concentration risk -** The ETF's investments are concentrated in a single country, namely the U.S. and in bonds of a single issuer. The ETF's value may be more volatile than that of a fund having a more diverse portfolio and may be more susceptible to adverse economic, political, policy, foreign exchange, liquidity, tax, legal or regulatory event affecting the U.S. market.
- **Floating Rate Notes risk -** Securities with floating rates can be less sensitive to interest rate changes than securities with fixed interest rates, but may decline in value and negatively impact the ETF's Net Asset Value, particularly if the coupon rates do not rise as much, or as quickly, as comparable market interest rates.
- **Income risk -** The ETF's income may decline when interest rates fall. This decline can occur because the debt instruments held by the ETF will have floating, or variable, interest rates.
- **Differences in dealing arrangements between Listed Class of Units and Unlisted Class of Units Risk -** Investors of the Listed Class of Units and the Unlisted Class of Units are subject to different pricing and dealing arrangements. The Net Asset Value per Unit of each of the Listed Class of Units and Unlisted Class of Units may be different due to the different fees and cost applicable to each class.
- **Differences in fee and cost arrangements between Listed Class of Units and Unlisted Class of Units Risk -** The levels and types of fees and costs applicable to each of the Listed Class of Units and the Unlisted Class of Units may differ. As such, the Net Asset Value per Unit of each of the Listed Class of Units and Unlisted Class of Units may also be different.
- **Risk of limited issuance -** The issuance of FRNs by the U.S. Treasury is relatively new and the amount of supply is limited. There is no guarantee or assurance that: (i) the ETF will be able to invest in a desired amount of FRNs, (ii) the ETF will be able to buy FRNs at a desirable price, (iii) FRNs will continue to be issued by the U.S. Treasury, or (iv) FRNs will be actively traded.
- **Credit risk and sovereign debt risk -** The financial condition of an issuer of a debt security or other instrument may cause such issuer to default, become unable to pay interest or principal due or otherwise fail to honor its obligations or cause such issuer to be perceived as being in such situations.
- **Trading hours differences risk -** As the trading platforms on which the Index constituents are traded may be open when Units in the ETF are not priced, the value of the Securities in the ETF's portfolio may change on days when investors will not be able to purchase or sell the ETF's Units.
- **Difference in Distribution Policies -** The Manager will pay distributions to Unitholders of the Distributing Unit Class but not to Unitholders of the Accumulating Unit Class. Distributions made in respect of the Distributing Unit Class may result in an immediate reduction in the Net Asset Value per Unit. All income and capital gain received in the Accumulating Unit Class will be reinvested and reflected in the Net Asset Value per Unit. The difference in the distribution policies of the two classes will lead to difference in the Net Asset Value between the two classes.

INVESTMENT OBJECTIVE

The ETF tracks the investment results, before fees and expenses, of the Bloomberg US Treasury Floating Rate Bond Index. There can be no assurance that the ETF will achieve its investment objective.

FUND INFORMATION

Manager	Premia Partners Company Limited
Trustee	HSBC Institutional Trust Services (Asia) Limited
Exchange	SEHK - Main Board
Units Outstanding	29,000.00
Fund Size	USD 17 million
Benchmark	Bloomberg US Treasury Floating Rate Bond Index
Bloomberg Index Ticker	BTFLTRUU Index
Management Fee	Ongoing expenses of only 0.15% p.a.
Base Currency	USD

TRADING INFORMATION

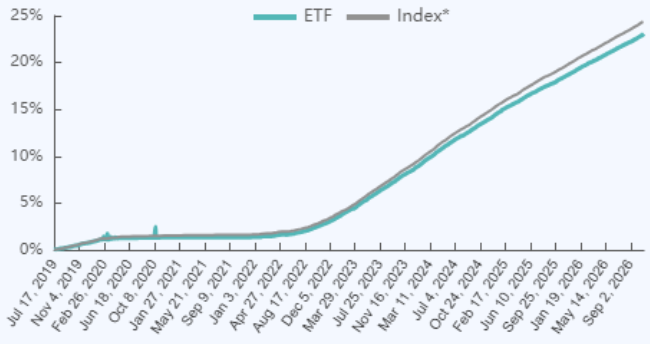
Listing Date	18 July 2019
Board Lot Size	5 units (HKD counter) | 5 units (USD counter)
Net Asset Value	USD 507.1123
Stock Code	3077 HK (HKD Counter) | 9077 HK (USD Counter)
Bloomberg Fund Ticker	3077 HK Equity 9077 HK Equity
ISIN Code	HK0000515855

CUMULATIVE PERFORMANCE (%)

	YTD	1-month	6-month	1-year	Since Listing
ETF	3.2%	0.4%	2.0%	4.0%	23.1%
Index*	3.4%	0.4%	2.1%	4.1%	24.4%

CALENDAR YEAR PERFORMANCE (%)

	2016	2017	2018	2019#	2020	2021	2022	2023	2024	2025
ETF	-	-	-	0.9%	0.5%	0.0%	2.0%	5.1%	5.3%	4.2%
Index*	-	-	-	0.9%	0.6%	0.1%	2.1%	5.3%	5.5%	4.4%



Source: Bloomberg, Premia Partners
** The Index is Bloomberg US Treasury Floating Rate Bond Index*
 # From date of listing to end of 2019

Past performance is not indicative of future performance. Investors may not get back the full amount invested. The computation basis of the performance is based on the calendar year end, NAV-to-NAV, with dividend reinvested. These figures show by how much the fund increased or decreased in value during the period shown. Performance data has been calculated in USD including ongoing charges and excluding trading costs on SEHK you might have to pay. Where no past performance is shown there was insufficient data available in that year to provide performance. Fund launch date: Jul 16, 2019.

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TOP 10 HOLDINGS

US TREASURY FRN TF 0 10/31/27	13.43%
US TREASURY FRN TF 0 04/30/28	13.42%
US TREASURY FRN TF 0 01/31/28	13.42%
US TREASURY FRN TF 0 07/31/27	13.42%
US TREASURY FRN TF 0 04/30/27	13.41%
US TREASURY FRN TF 0 01/31/27	13.40%
US TREASURY FRN TF 0 10/31/26	13.39%
US TREASURY FRN TF 0 07/31/28	4.63%

Source: Premia Partners

FUND CHARACTERISTICS

Average Quality	Aa
Effective Duration	0.00
Average Yield To Maturity (%)	4.07
Convexity	0.00

Source: Premia Partners

PARTICIPATING DEALERS

- Barclays Bank PLC
- Cathay Securities (Hong Kong) Limited
- CCBI International Securities Limited
- China Merchants Securities (HK) Co., Limited
- Citigroup Global Markets Asia Limited
- DBS Vickers (Hong Kong) Limited
- GF Securities (Hong Kong) Brokerage Limited
- Goldman Sachs (Asia) Securities Limited
- Guotai Junan Securities (Hong Kong) Limited
- Haitong International Securities Company Limited
- Korea Investment & Securities Asia Limited
- Merrill Lynch Far East Limited
- Mirae Asset Securities (HK) Limited
- Yuanta Securities (Hong Kong) Company Limited

MARKET MAKERS

- Flow Traders Hong Kong Limited

* Please refer to the website of Stock Exchange of Hong Kong in case of any discrepancy of the list of Market Makers of the Sub-Fund.

ABOUT PREMIA PARTNERS

Premia Partners is an investment firm founded in Hong Kong in 2016, by a group of enthusiasts who believe in enabling investors with efficient investment tools and see enormous scope for innovation in the Asian ETF industry. The team came from various incumbent global and regional industry leaders and shares one common goal – to reshape the ETF landscape in Asia by applying our collective knowledge and execution experience as well as by collaborating with the best-in-class global experts to curate ETF and smart beta solutions for investors. In Asia, and for Asia – because this is our home. For more information, please visit www.premia-partners.com

IMPORTANT NOTICE The ETF tracks the performance of its underlying index which does not incorporate Environment, Social and Governance factors in its key investment focus. The current disclosures are made in accordance with SFC guidelines following the Manager's climate-related risk assessments. Applicability and extent of disclosures shall be assessed on periodical basis.

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^ The ETF is an eligible collective investment scheme for the New Capital Investment Entrant Scheme. (New CIES) in Hong Kong. Details can be found in the official website by the Securities and Futures Commission (SFC)