PING AN ETF

中国平安资产管理（香港）
PING AN OF CHINA ASSET MANAGEMENT (HONG KONG)

Monthly Factsheet (Listed Class)

Hong Kong New CIES Eligible[Δ]

Data as of 30 September 2026

Ping An of China Trust

Ping An of China CSI HK Dividend ETF (3070/9070)

★★★★★
#Morningstar Rating™

Risk Disclosure

- The Ping An of China CSI HK Dividend ETF (the "HK Dividend ETF" or the "Fund") is an index-tracking exchange traded fund, which seeks to track the performance of the CSI Hong Kong Dividend Index (Price Return) (the "Underlying Index"). The return may deviate from that of the Underlying Index.
- The HK Dividend ETF is subject to concentration risk as a result of tracking the performance of companies whose operations and business are primarily in a single region (i.e. Chinese mainland and Hong Kong). Changes in political, economic and social conditions in this region could also adversely affect the value of investments of the Fund.
- There is no guarantee of the repayment of principal. Your investment in the Fund may suffer losses.
- Dividend distributions are not guaranteed and are subject to the discretion of the Manager.
- The units of the HK Dividend ETF may trade at a discount or premium to their net asset value, which may go up as well as down.
- Investment involves risks and the HK Dividend ETF may not be suitable for everyone. Investors should read the Prospectus and Product Key Facts Statement carefully for further details (including various risk factors) and consider their own investment objectives and other circumstances before investing.
- The indexing investment approach of the Fund aims to achieve an investment performance that closely corresponds with the underlying index, either by a Replication Strategy or by a Representative Sampling Strategy as described under "Investment Strategy" in the Prospectus and Product Key Facts of the Fund.

Investment Objective

The HK Dividend ETF aims to track the performance of the Underlying Index.

About the Index

The Underlying Index is a stock index which seeks to reflect the performance of high yield securities in the Hong Kong market by selecting the 30 securities with high dividend yield, stable dividend payment and good liquidity from the entire universe of securities listed on the Stock Exchange of Hong Kong Limited (the "SEHK").

Fund Details

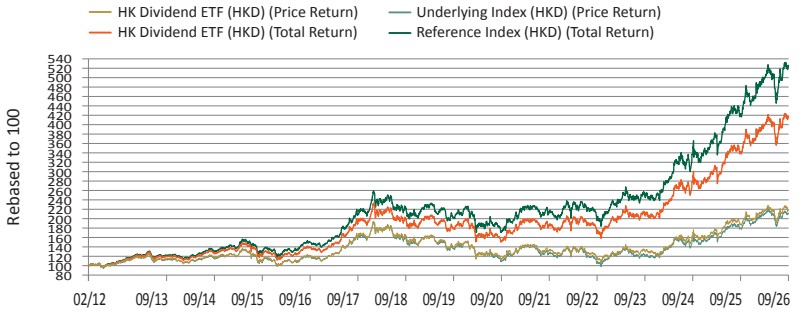
Exchange	SEHK
Fund Manager	Ping An of China Asset Management (Hong Kong) Company Limited
Trustee	HSBC Institutional Trust Services (Asia) Limited
Underlying Index	CSI Hong Kong Dividend Index (Price Return) <Bloomberg Ticker: CSIH1140 Index>
Reference Index	CSI Hong Kong Dividend Index (Total Return) <Bloomberg Ticker: CSIR1140 Index>
Investment Strategy	Primarily a full replication strategy [(1)]
Inception Date	10 February 2012
Base Currency	HKD
Dividend Policy	Quarterly at the discretion of the Manager, usually in March, June, September and December. All units will receive distributions in the base currency (HK$) only. Distributions (if any) will not be paid out of or effectively out of the capital of the Fund.
Trading Charges	Usual brokerage commission and transaction levies [(2)]
Management Fee	0.55% per annum [(3)]
Total Net Asset (HKD)	1,377,231,837.26
NAV per Unit (HKD)	41.9504
Units Outstanding	32,830,000

Trading Information

Stock Code	3070 (HKD Counter)	9070 (USD Counter)
Bloomberg Ticker	3070 HK Equity	9070 HK Equity
ISIN Code	HK0000098449	HK0000098449
Trading Board Lot Size	100 Units	100 Units
Trading Currency	HKD	USD
Listing Date	15 February 2012	16 September 2024

Δ The Fund is recognized as one of the eligible collective investment schemes under the New Capital Investment Entrant Scheme ("New CIES") in Hong Kong.
(1) The Manager may also adopt a representative sampling strategy.
(2) For complete information about fees and charges, please refer to the Prospectus.
(3) This refers to management fee only.

Fund Performance



Cumulative Performance (%)

Total Return	YTD	1-Month	3-Month	6-Month	1-Year	3-Year	5-Year	10-Year	Since Inception
HK Dividend ETF (HKD)	14.71	-0.45	17.33	5.08	22.16	96.21	122.30	202.98	318.02
Reference Index (HKD)	16.15	-0.24	18.06	5.82	24.63	107.17	143.03	253.14	426.29
Price Return									
HK Dividend ETF (HKD)	10.61	-2.87	14.48	1.65	16.34	63.75	63.48	81.90	119.08
Underlying Index (HKD)	11.16	-1.35	15.32	1.35	17.84	70.94	65.96	79.21	111.96

Calendar Year Performance (%)

Total Return	2025	2024	2023	2022	2021	2020	2019	2018	2017	2016	2015	2014	2013	2012^
HK Dividend ETF (HKD)	26.64	36.03	14.02	3.33	3.07	-11.85	7.18	-7.16	52.34	2.08	-4.64	12.77	3.25	15.01
Reference Index (HKD)	29.45	38.81	16.15	4.86	4.37	-11.31	8.39	-6.34	55.69	4.48	-2.76	14.68	4.23	16.55
Price Return														
HK Dividend ETF (HKD)	20.57	30.65	6.39	-4.34	-2.74	-15.52	2.55	-11.17	48.42	-1.22	-7.65	8.79	0.10	11.94
Underlying Index (HKD)	20.15	29.07	6.37	-5.12	-2.91	-16.43	2.31	-11.15	48.01	-1.30	-7.51	9.42	-0.01	11.75

^ Since fund inception.

Annualized Performance (%)

Since Inception	Total Return	Price Return
HK Dividend ETF (HKD)	10.26	5.50
CSI Hong Kong Dividend Index (HKD)	12.01	5.26

Tracking Difference & Tracking Error* (%)

Rolling 1 Year as of 30 September 2026	Total Return	Price Return
Tracking Difference (TD)	-2.47	-1.50
Tracking Error (TE)**	0.63	3.20

* TD is the return difference between an ETF and its underlying benchmark / index over a certain period of time. TE measures how consistently an ETF follows its benchmark / index. It is the volatility (measured by standard deviation) of that return difference.
** Annualized based on the number of dealing days in the past year when daily TD is calculated.

Past performance is not a guide to future performance. The HK Dividend ETF (Price Return) performance is calculated in HKD on NAV-to-NAV basis without dividend reinvested. CSI Hong Kong Dividend Index (Price Return) is the Underlying Index of the HK Dividend ETF. The HK Dividend ETF (Total Return) performance is calculated in HKD on NAV-to-NAV basis with dividend reinvested. CSI Hong Kong Dividend Index (Total Return) performance is provided for reference purpose only.

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PING AN OF CHINA ASSET MANAGEMENT (HONG KONG)

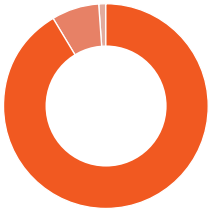
Monthly Factsheet (Listed Class)

Data as of 30 September 2026

Ping An of China Trust

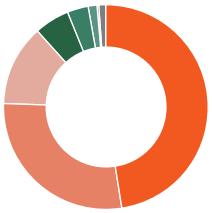
Ping An of China CSI HK Dividend ETF (3070/9070)

Country / Region Allocation



- Chinese mainland 91.61%
- Hong Kong 7.49%
- Cash 0.90%

Sector Allocation



- Financials 47.53%
- Energy 28.26%
- Communication Services 12.78%
- Industrials 5.49%
- Materials 3.39%
- Utilities 1.29%
- Consumer Discretionary 0.36%
- Cash 0.90%

Top 10 Holdings

Holdings		(%)
939 HK	China Construction Bank Corp	11.77
1398 HK	Industrial & Commercial Bank of China Ltd	11.56
883 HK	CNOOC Ltd	10.17
3988 HK	Bank of China Ltd	10.14
941 HK	China Mobile Ltd	8.56
1288 HK	Agricultural Bank of China Ltd	7.16
857 HK	PetroChina Co Ltd	7.13
1088 HK	China Shenhua Energy Co Ltd	5.21
386 HK	China Petroleum & Chemical Corp	3.70
1378 HK	China Hongqiao Group Ltd	2.97

Dividend History

Ex-date	Dividend per Unit	Ex-date	Dividend per Unit	Ex-date	Dividend per Unit
29 Jun 2012	HKD 0.27	22 Jun 2018	HKD 0.38	27 Jun 2024	HKD 0.41
14 Dec 2012	HKD 0.28	14 Dec 2018	HKD 0.96	20 Dec 2024	HKD 0.83
28 Jun 2013	HKD 0.32	27 Jun 2019	HKD 0.43	26 Jun 2025	HKD 0.74
13 Dec 2013	HKD 0.34	13 Dec 2019	HKD 0.82	26 Sep 2025	HKD 0.60
27 Jun 2014	HKD 0.34	29 Jun 2020	HKD 0.20	22 Dec 2025	HKD 0.47
12 Dec 2014	HKD 0.48	11 Dec 2020	HKD 0.82	24 Mar 2026	HKD 0.13
26 Jun 2015	HKD 0.19	29 Jun 2021	HKD 0.62	23 Jun 2026	HKD 0.34
11 Dec 2015	HKD 0.52	23 Dec 2021	HKD 0.83	24 Sep 2026	HKD 1.03
24 Jun 2016	HKD 0.21	29 Jun 2022	HKD 0.75		
09 Dec 2016	HKD 0.52	23 Dec 2022	HKD 1.10		
30 Jun 2017	HKD 0.25	29 Jun 2023	HKD 0.36		
08 Dec 2017	HKD 0.50	22 Dec 2023	HKD 1.31		

Market Makers (HKD and USD counters) [4]

- BNP Paribas Securities (Asia) Limited (HKD counter only)
- China Merchants Securities (HK) Co., Limited
- Flow Traders Hong Kong Limited
- Jump Trading Hong Kong Limited (HKD counter only)
- Mirae Asset Securities (HK) Limited (HKD counter only)
- Optiver Trading Hong Kong Limited

Participating Dealers (HKD and USD counters) [4]

- BNP Paribas Securities (Asia) Limited (HKD counter only)
- Chief Securities Limited
- China Merchants Securities (HK) Co., Limited
- Haitong International Securities Company Limited
- Head and Shoulders Securities Limited
- Korea Investment & Securities Asia Limited
- Merrill Lynch Far East Limited
- Mirae Asset Securities (HK) Limited
- Phillip Securities (Hong Kong) Limited
- UBS Securities Hong Kong Limited (HKD counter only)

Awards

House Awards

Bloomberg Businessweek 彭博商業周刊/中文版

Leading Enterprises ESG 2023 — Special Categories: ESG Investing

ESG Leading Enterprise Awards 2022 — Theme Awards: ESG Investing

ESG Leading Enterprise Awards 2021 — Theme Awards: ESG Investing

(5)

AsiaRisk Awards 2022 Winner — Investment house of the year — Ping An

(6)

AsiaRisk Awards 2020 Winner — Asset management firm of the year — Ping An of China Asset Management (Hong Kong)

(6)

Fund Awards

2026

Asia Asset Management ETF Awards [7]
Single Market Awards (Hong Kong) - Best Dividend ETF

2024

Benchmark Fund of the Year Awards [8]
Top ETF Awards (Hong Kong) - Hong Kong Equity - Outstanding Achiever

Bloomberg Businessweek/Chinese Edition Top Fund Awards [9]
ETFs (NAV Total Return 1 Year) - Equity - Hong Kong - Best Performer

2023

Bloomberg Businessweek/Chinese Edition Top Fund Awards [9]
ETFs (NAV Total Return 1 Year) - Equity - Hong Kong - Best Performer

China Fund News - The Yinghua Awards (Overseas Fund) [10]
Mutual Fund - Three-year Yinghua Awards - Greater China ETF

2021

Bloomberg Businessweek/Chinese Edition Top Fund Awards [9]
ETFs (NAV Tracking Error 1 Year) - Equity - Greater China - Outstanding Performer

(4) Unless otherwise specified, the lists of Market Makers and Participating Dealers apply to both HKD and USD counters. Please refer to the website https://asset.pingan.com.hk/ for the latest lists.
(5) Source: Bloomberg Businessweek/Chinese Edition, ESG Leading Enterprise Awards 2021, 2022 and 2023 as of November 2021, December 2022 and November 2023 respectively.
(6) Source: Risk.net and Asia Risk Magazine, Asia Risk Awards 2020 and 2022 as of September 2020 and November 2022 respectively.
(7) Asia Asset Management ETF Awards 2026: based on fund performance as of 31 December 2025.
(8) Benchmark Fund of the Year Awards 2024: based on fund performance as of 31 December 2024.
(9) Bloomberg Businessweek/Chinese Edition Top Fund Awards 2021, 2023 and 2024: based on fund performance as of 30 September 2021, 30 September 2023 and 30 September 2024 respectively.
(10) China Fund News - The Yinghua Awards (Overseas Fund) 2023: based on fund performance as of 30 September 2023.
Source: Morningstar, Morningstar Rating as of 30 September 2026. **Past performance is not a guide to future performance.** A rating is not a recommendation to buy, sell or hold a fund. © 2026 Morningstar Asia Limited. All Rights Reserved. The information contained herein: (a) is proprietary to Morningstar and/or its content providers; (b) may not be copied or distributed; and (c) is not warranted to be accurate, complete, or timely. Neither Morningstar nor its content providers are responsible for any damages or losses arising from any use of this information.

Fund Allocation: Fund allocations are a percentage of investments in asset categories (i.e. total sum of financial assets and cash at bank) as of the end of the period. The asset category of the Fund is based on its predominant investments under normal market conditions. The Fund may shift allocations among asset classes at any time without prior notice. Percentage may not equal 100% due to rounding. **Top Holdings:** These securities do not represent all of the securities purchased, sold or recommended for clients, and the reader should not assume that investment in the securities listed was or will be profitable. The portfolio manager for the Fund reserves the right to withhold release of information with respect to holdings that would otherwise be included in the top holdings list.

Contact Us

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Disclaimer

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For more information about Ping An of China Asset Management (Hong Kong), please visit our website: https://asset.pingan.com.hk (This website has not been reviewed by the SFC)