3417 Global X Hang Seng TECH Covered Call Active ETF

Category: Income
As of 30/09/2026

IMPORTANT INFORMATION

Investors should not base investment decisions on this material alone. Please refer to the Prospectus for details including the product features and the risk factors. Investment involves risks. Past performance is not indicative of future performance. There is no guarantee of the repayment of the principal. Investors should note:

- The investment objective of Global X Hang Seng TECH Covered Call Active ETF (the "Funds") is to generate income by primarily investing in constituent equity securities in the Hang Seng TECH Index (the "Reference Index") and selling (i.e. "writing") call options on the Reference Indexes respectively to receive payments of money from the purchaser of call options (i.e. "premium").
- If the value of the securities relating to the Reference Index held by the Fund declines, the premium that the Fund received for writing the Reference Index Call Option may reduce such loss to some extent. However, the downside of adopting a covered call strategy is that the Fund's opportunity to profit from an increase in the level of the Reference Index is limited to the strike price of the Reference Index Call Options written, plus the premium received.
- The market value of an Reference Index Call Option may be affected by factors including supply and demand, interest rates. The Fund's ability to utilise Reference Index Call Options successfully will depend on the ability of the Manager to correctly predict future price fluctuations.If an Reference Index Call Option expires and if there is a decline in the market value of the Reference Index during the option period, the premiums received by the Fund from writing the Reference Index Call Options may not be sufficient to offset the loss realised.
- The Reference Index Call Options in the OTC markets may not be as liquid as exchange-listed options. The Fund may find the terms of counterparties in the OTC markets to be less favorable than the terms available for listed options. Moreover, the SEHK may suspend the trading of options in volatile markets which may casue the Fund unable to write Reference Index Call Options at times
- The use of futures contracts involves market risk, volatility risk, leverage risk and negative roll yields and "contango" risk.
- Investing in Reference Index Futures and writing Reference Index Call Options generally involve the posting of margin. If the Fund is unable to meet its investment objective as a result of margin requirements imposed by the HKFE, the Fund may experience significant losses.
- The Fund employs an actively managed investment strategy. The Fund may fail to meet its objective as a result of the implementation of investment process which may cause the Fund to underperform as compared to direct investments in the constituent equity securities of the Reference Index.
- The Fund is exposed to concentration risk by tracking a specific regions or countries.
- To the extent that the constituent securities of Reference Index are concentrated in securities of a particular sector or market, the investments of it may be similarly concentrated.
- The trading price of the Fund's unit on the SEHK is driven by secondary market trading factors, which may lead to a substantial premium or discount to the Fund's net asset value.
- The Manager may at its discretion pay dividends out of the capital of the Fund. Distributions paid out of capital, represent a return of an investor's original investment or its gains and may potentially reduce the Fund's Net Asset Value per Share as well as the capital available for future investment.
- The Fund may suffer from a losses or delays when recovering the securities lent out. This may potentially affect its ability to meet payment and redemption obligations. Collateral shortfalls due to inaccurate pricing or change of value of securities lent, may cause significant losses to the Fund.

FUND DETAILS

Listing Date	13/03/25
Stock Code (HKD)	3417
Number of Holdings	31
NAV per Unit	HKD6.83
Assets Under Management	USD 399.27 million
Ongoing Charges Over a Year*	0.75%
Board Lot Size	100 Shares
Dividend Policy	Monthly at the Manager's discretion
Exchange	SEHK
ISIN	HK0001107728

INVESTMENT OBJECTIVES

The investment objective of the Global X Hang Seng TECH Covered Call Active ETF is to generate income by primarily (i) investing in constituent equity securities in the Hang Seng TECH Index (the "Reference Index" or the "HS TECH"); and (ii) selling (i.e. "writing") call options on the Reference Index to receive payments of money from the purchaser of call options (i.e. "premium").

PERFORMANCE (%)

CUMULATIVE RETURN	NAV (%)
1 Mth	-5.03
3 Mths	-1.46
6 Mths	-1.04
YTD	-13.40
Since Listing	-8.22

ANNUALISED RETURN	
1 Yr	-17.74
3 Yrs	-
5 Yrs	-
Since Listing	-5.39

CALENDAR YEAR RETURN	
2025	-
2024	-
2023	-
2022	-
2021	-

TOP 10 HOLDINGS (%)

Global X Hang Seng TECH ETF	28.09
Netease Inc	4.31
Tencent Holdings Ltd	4.13
Alibaba Group Holding Limited	4.08
Meituan Class B	3.95
Lenovo Group Limited	3.92
Xiaomi Corporation Class B	3.87
Semiconductor Manufacturing International Corp.	3.72
BYD Company Limited Class H	3.62
JD.com, Inc. Class A	2.47

Source: Mirae Asset Global Investments (Hong Kong) Limited, data as of 30 September 2026. The computation basis of the performance is based on the calendar year end, NAV-to-NAV, with dividend reinvested in HKD. Where no past performance figures are shown there was insufficient data available in that year to provide performance figures.

* Please refer to the Fund's offering document for further details (including fees).

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References to particular sectors, securities or companies are for general information and illustrative purposes only and are not recommendations to buy or sell a security, or an indication of the issuer's holdings at any one time.
Investors should note that the Fund differs from a typical retail fund as Units may only be created and realized by participating dealers in large unit sizes. This document is issued by Mirae Asset HK and has not been reviewed by the Securities and Futures Commission of Hong Kong. Please read the disclaimer in relation to the Index in the Fund's Prospectus.

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